DT02 Rec'd PCT/PT0

PTO-1390 (Rev. 12-2004)
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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)** 

ATTORNEY'S DOCKET NUMBER 1175/73720

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371									
INTERNATIONAL APPLICATION NO.		INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED						
PCT/DK2003/000497 TITLE OF INVENTION		15 July 2003	16 July 2002						
Case=Hardening of Stainless Steel									
APPLICANT(S) FOR DO/EO/US Marcel A.J. Somers, Thomas Christiansen; and Per Moller									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1. 🗶	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.								
2.	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.								
з. 🗶 🗆	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4. <b>X</b>	The US has been elected (Article 31).		•						
5. <b>X</b>	A copy of the International Application	as filed (35 U.S.C. 371(c)(2))							
	a. X is attached hereto (required only if not communicated by the International Bureau).								
	b. x has been communicated by the International Bureau.								
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).								
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
	a. is attached hereto.								
	b. has been previously submitte	ed under 35 U.S.C. 154(d)(4).							
7. <b>X</b>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
	a. are attached hereto (required only if not communicated by the International Bureau).								
•	b. X have been communicated b	y the International Bureau.							
	c. have not been made; however, the time limit for making such amendments has NOT expired.								
	d. have not been made and w	ill not be made.							
8. 🔣	An English language translation of the	amendments to the claims under PCT A	rticle 19 (35 U.S.C. 371(c)(3)).						
9. <b>X</b>	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10.	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	annexes of the International Preliminary	Examination Report under PCT						
Items	11 to 20 below concern document(s)	or information included:							
11.	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.							
12. 🗶	An assignment document for recording	g. A separate cover sheet in compliance v	with 37 CFR 3.28 and 3.31 is included.						
13. 🗶	A preliminary amendment.		•						
14.	An Application Data Sheet under 37 C	FR 1.76.	,						
15.	A substitute specification.								
16. 🛣	A power of attorney and/or change of address letter.								
17. 🔲	A computer-readable form of the sequ	ence listing in accordance with PCT Rule	13ter.2 and 37 CFR 1.821- 1.825.						
18. 👿	A second copy of the published International Application under 35 U.S.C. 154(d)(4).								
19.	A second copy of the English languag	e translation of the international application	on under 35 U.S.C. 154(d)(4).						
20.	Other items or information:								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## DT09 Rec'd PCT/PTO \_1\_4 JAN 2005

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U.S. APPLICAT	TON 100 (1 known see	ATTORNEY'S DOCKET NUMBER							
	10NP0752	1175/73720							
21. The followi	ng fees are submitted:		Office use only						
a) Basic na	ational fee	\$300.00							
b) Examin	ation fee	\$200.00							
c) Search f	fee	\$500.00							
	TOTAL OF ABOVE CA	\$1,000.00							
Additional for	ee for specification and d								
listing or co additional 5	mputer program listing fil 0 sheets of paper or frac								
Total Sheets	Extra sheets		per of each additional 50 or fraction RATE reof (round <b>up</b> to a whole number)						
- 100 =	/50 =			× \$250.00	\$0.00				
Surcharge of \$13 claimed priority of	30.00 for furnishing the odate (37 CFR 1.492(e)).	\$0.00							
CLAIMS	NUMBER FII	LED	NUMBER EXTRA	RATE	\$				
Total claims		- 20 =	× \$50.00		\$0.00				
Independent clai	ms	- 3 =	× \$200.00		\$0.00				
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	plicable)	+	\$360.00	\$0.00				
			TOTAL OF ABOVE CAL	•	\$1,000.00				
Applicant of by 1/2.	claims small entity status.	See 37 C	FR 1.27. The fees indicated above a	are reduced	\$				
		\$1,000.00							
Processing fee of	of \$130.00 for furnishing t	\$							
	date (37 CFR 1.492(f)).	3							
		\$1,000.00							
Fee for recording by an appropriat	g the enclosed assignment e cover sheet (37 CFR 3	\$40.00							
	:	\$1,040.00							
			\$						
			\$						
a. X A chec	ck in the amount of \$1	,040.0	to cover the above fe	es is enclosed.		8			
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.									
c. X  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03–125 A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
•		/	•						
SEND ALL CORRESPONDENCE TO:  Donald S. Dowden, Esq.				MA Ba	le				
	Dunham, LLP	W 1. P. W.							
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	REGISTRATION NUMBER								

DT09 A PCT/PTO 1 4 JAN 2005

PATENT 1175/73720

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Marcel A.J. Somers, Thomas Christiansen and Per Möller

Serial No.

Not Yet Assigned

Int'l Appl'n No.: PCT/DK2003/000497

Filed

Herewith

Int'l Filing Date: July 15, 2003

For

CASE-HARDENING OF STAINLESS STEEL

Priority Date

Claimed

July 16, 2002

Group

Not Yet Known

Examiner

Not Yet Known

1185 Avenue of the Americas New York, New York 10036 (212) 278-0400 January 14, 2005

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

SIR:

## EXPRESS MAIL CERTIFICATE OF MAILING FOR ABOVE-IDENTIFIED APPLICATION

"Express Mail" mailing label number: <u>EV487074504US</u> Date of Deposit: <u>January 14, 2005</u>.

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Express Mail Certificate bearing Express Mail Label #EV487074504US; Transmittal Letter, in triplicate; 15 page specification including 1-page abstract; Figures 1-7 (2 sheets); a copy PCT publication No. WO 2004/007789 A2 (front page) & A3 with search report; a copy of the original request; copies of notifications of 11 August 2003 and 18 September 2004 concerning submission or transmittal of the priority document (form PCT/IB/304); a copy of a notice informing the applicant of the communication of the International application to the designated offices (form PCT/IB/308); a copy of information concerning elected offices notified of their election (form PCT/IB/332); a copy of PCT Demand under Art. 31 dated 11 February 2004 with no amended pages; a copy of the Written Opinion dated May 18, 2004; copy of Reply to Written Opinion with amended pages 1, 3, 4 and 12-14 filed 9 July 2004 and draft amendments; copy of preliminary examination report dated 21 July 2004 with amended pages; Preliminary Amendment; Communication Regarding Power of Attorney; Declaration and Power of Attorney; Patent Application—Assignment; Assignment Recorded Form PTO 1595; Check in the amount of \$1040.00; and Return Postcard.

Printed Name

Respectfully submitted,

Donald S. Dowden Registration No. 20,701 Attorney for Applicant Cooper & Dunham LLP 1185 Avenue of the Americas New York, New York 10036

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